

AWARD NUMBER: W81XWH-13-1-0484

TITLE: 0çæ æ | Å -ÖþÖÜ^ æ Å | & | Å æ ÅÜ^ ä æ | Å -Ü^ • [ ] • ^ | Å ÅÖ | Å æ Å^ æ Å -ÜÖÜ Å | Å  
T [ ] [ @ | æ ^ Å | Å ^ & | ^ ) Å ç æ æ Å Ö æ & [ { æ

PRINCIPAL INVESTIGATOR: Elizabeth Swisher

CONTRACTING ORGANIZATION: University of Washington  
 AA

REPORT DATE: U&A 2014

TYPE OF REPORT: Annual Report

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;  
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

REPORT DOCUMENTATION PAGE				Form Approved OMB No. 0704-0188	
Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. <b>PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.</b>					
1. REPORT DATE October2014		2. REPORT TYPE Annual Report		3. DATES COVERED ĞĖĀUα*ĀĞĖFGĀĖĀĞİĀUα*ĀĞĖFHĀ	
4. TITLE AND SUBTITLE Evaluation of DNA Repair Function as a Predictor of Response in a Clinical Trial of PARP Inhibitor Monotherapy for Recurrent Ovarian Carcinoma				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER W81XWH-13-1-0484	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S) Elizabeth Swisher  E-Mail: swishere@uw.edu				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) University of Washington 4333 Brooklyn Ave NE Box 359472 Seattle, Wa 98195				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)  U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION / AVAILABILITY STATEMENT  Approved for Public Release; Distribution Unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT BRCA1 and BRCA2 (BRCA1/2) are key components of the Fanconi anemia (FA)/homologous recombination (HR) pathway of DNA repair. Cancer cells with deleterious FA/HR pathway mutations are hypersensitive to poly(ADP-ribose) polymerase (PARP) inhibitors. However, only about half of the cancer patients with germline FA/HR pathway mutations respond to PARP inhibitors, raising the question of why a substantial fraction of HR-deficient cancers are resistant to these agents in the clinic. Based on previous work in the Swisher and Kaufmann laboratories, we proposed to test the <b>hypothesis</b> that <i>two different conditions must be met for ovarian cancer to be hypersensitive to platinum and PARP inhibitors: The FA/HR pathway must remain disabled and NHEJ must remain intact and functional</i> . Our aim is to <b>Correlate biomarkers of HR deficiency and NHEJ pathway integrity in pre-treatment biopsies with response to a PARPi in a prospective single-agent PARPi phase 2 clinical trial in recurrent ovarian carcinoma</b> . Over the past 12 months we have i) completed IRB and HRPO review of our project, ii) developed sequencing and genomic scarring assay to assess large number of DNA repair genes on small core biopsy specimens iv) begun accessioning samples from the phase 2 rucaparib trial (Ariel 2, NCT01891344).					
15. SUBJECT TERMS ovarian cancer, drug resistance, rucaparib, phase 2, DNA repair, homologous recombination, nonhomologous end-joining (NHEJ), poly(ADP-ribose) polymerase, BRCA1, BRCA2, PARP1					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES	19a. NAME OF RESPONSIBLE PERSON
a. REPORT	b. ABSTRACT	c. THIS PAGE			USAMRMC
Unclassified	Unclassified	Unclassified	Unclassified	6	19b. TELEPHONE NUMBER (include area code)

## Table of Contents

	<u>Page</u>
<b>1. Introduction.....</b>	<b>4</b>
<b>2. Keywords.....</b>	<b>4</b>
<b>3. Overall Project Summary.....</b>	<b>5</b>
<b>4. Key Research Accomplishments.....</b>	<b>5</b>
<b>5. Conclusion.....</b>	<b>5</b>
<b>6. Publications, Abstracts, and Presentations.....</b>	<b>5</b>
<b>7. Inventions, Patents and Licenses.....</b>	<b>5</b>
<b>8. Reportable Outcomes.....</b>	<b>5</b>
<b>9. Other Achievements.....</b>	<b>5</b>
<b>10. References.....</b>	<b>6</b>
<b>11. Appendices.....</b>	<b>6</b>

## INTRODUCTION

Poly(ADP-ribose) polymerase (PARP) is an abundant nuclear enzyme that regulates five different DNA repair pathways (1, 2). Building on preclinical observations that defects in homologous recombination (HR) repair, which are found in 30-50% of ovarian cancers, sensitize cells to killing by PARP inhibitors (3-5), five separate phase 3 trials involving PARP inhibitors have opened or are about to open in ovarian cancer (2). Nonetheless, in a recent decision the Food and Drug Administration has declined to approve the PARP inhibitor olaparib for ovarian cancer, citing (in part) the need for additional information that will permit better identification of patients most likely to respond to this agent. In collaboration with Scott Kaufmann (Mayo Clinic), the present synergistic translational leverage project is assessing multiple aspects of DNA repair pathway integrity in pretreatment biopsies from a large multi-institution phase 2 study of the PARP inhibitor rucaparib. In particular, the Swisher laboratory is using massively parallel DNA sequencing to assess mutations in the HR pathway, the nonhomologous end-joining (NHEJ) pathway, PARP1 and other DNA repair genes that could impact response to PARP inhibitors.

**Key words:** ovarian cancer, drug resistance, rucaparib, phase 2, DNA repair, homologous recombination, nonhomologous end-joining (NHEJ), poly(ADP-ribose) polymerase, BRCA1, BRCA2, PARP1,

**Overall Project Summary:**

Consistent with our Statement of Work, we prepared paperwork for the IRB and HRPO regarding the analysis of deidentified samples from the phase 2 rucaparib trial. Both determined that the research was exempt.

**Sample acquisition:** The phase 2 clinical trial that is providing samples for the correlative assays in the Kaufmann and Swisher laboratories (ClinicalTrials.gov identifier NCT01891344) opened during the reporting period. Deidentified specimens from 30 patients were obtained through November 15, 2014, and are being stored for evaluation in batches.

Preparation for evaluation of the clinical trial specimens:

The needle biopsy specimens obtained prior to treatment initiation on ARIEL2 are tiny, necessitating alterations in our established protocol for BROCA sequencing. We have spent significant time testing various modifications to the protocol to optimize:

1. DNA yield and integrity from the small formalin- fixed paraffin embedded (FFPE) specimens.
2. Library preparation to allow sequencing of 50 ng of DNA
3. Bioinformatics pipeline

At the present, we are still working on a few more modification for library preparation. It is critical that all steps are optimized to ensure that we obtain optimal data from the precious and limited clinical specimens.

**Key research accomplishments**

Nothing to report (per instructions that hitting project milestones are not key research accomplishments)

**Conclusions**

We have made progress in optimizing protocols for analysis of the clinical trial specimens and are actively acquisitioning the clinical trial specimens. Thus, we are on track to acquisition and sequence all samples before the end of the funding period in two years.

**Publications, abstracts and presentations**

1. Swisher EM, McNeish IA, Coleman RL, Brenton J, Kaufmann SH, Allen AR, Raponi M, Giordano H, Maloney L, Isaacson J, Ledermann JA. ARIEL 2/3: An integrated clinical trial program to assess activity of rucaparib in ovarian cancer and to identify tumor molecular characteristics predictive of response. Journal of Clinical Oncology 2014;32:5S:suppl; abst TPS5619.

**Inventions, patents and licenses**

None

**Reportable Outcomes**

None

**Other achievements**

None

## References

1. Curtin NJ. DNA repair dysregulation from cancer driver to therapeutic target. *Nat Rev Cancer* 2012;12:801-17. PMID: 23175119
2. Scott CL, Swisher EM, Kaufmann SH. PARP Inhibitors: Recent Advances and Future Development. 2014;submitted:
3. Farmer H, McCabe N, Lord CJ, Tutt AN, Johnson DA, Richardson TB, Santarosa M, Dillon KJ, Hickson I, Knights C, Martin NM, Jackson SP, Smith GC, Ashworth A. Targeting the DNA repair defect in BRCA mutant cells as a therapeutic strategy. *Nature* 2005;434:917-21. PMID: 15829967
4. Bryant HE, Schultz N, Thomas HD, Parker KM, Flower D, Lopez E, Kyle S, Meuth M, Curtin NJ, Helleday T. Specific killing of BRCA2-deficient tumours with inhibitors of poly(ADP-ribose) polymerase. *Nature* 2005;434:913-7. PMID: 15829966
5. Ashworth A. A Synthetic Lethal Therapeutic Approach: Poly(ADP) Ribose Polymerase Inhibitors for the Treatment of Cancers Deficient in DNA Double-Strand Break Repair. *J Clin Oncol* 2008;26:3785-90.

## Appendices

None